



AFSA NOTICE №0009

ON THE EQUIVALENT REGULATED EXCHANGES FOR LISTED FUNDS

(with amendments as of 28 November 2019)

Date of issue: 23 July 2019



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RELEVANT STATUTORY PROVISION: GLO (EQUIVALENT REGULATED EXCHANGE FOR LISTED FUNDS)

THE ASTANA FINANCIAL SERVICES AUTHORITY HEREBY GIVES NOTICE THAT:

The following Regulated Exchanges are determined as Equivalent Regulated Exchanges for Listed Funds:

1. The Main Market of the London Stock Exchange for Listed Funds that must be in the form of open-ended Investment Company as defined in section 236 of the Financial Services and Markets Act 2000;
2. AIM (Alternative Investments Market) of the London Stock Exchange (LSE) for admitted to trading Units of Listed Funds;
3. Euronext Dublin for admitted to trading Listed Funds and Exchange-traded funds (ETFs).